






Appendix A								
Risks and Action Plan	Risk Owner	Action Required to Address Risk	Target Date	Risk Category	Current position / progress	Status	Status	Current Rating
Risk Identified			for action completion	Strategic, Operational, Project	as at 23/01/2018	as at June 17	as at Sept 17	as at Dec 17
 1 Potential Claims growth	Chief Executive	The Council has robust systems in place both to deal with claims when they happen and also to prevent, where possible, the circumstances where claims could arise. In doing so, the Council has in place policies and procedures designed to enhance safety at work and also to advise staff and others when driving or operating machinery. The Council checks, on a regular basis, that it is up to date on best practice in this area and that systems reflect changes in the local, national or international environments		Strategic	Risks reviewed and noted that this area is of growing significance with the number and value of claims increasing. Further actions reviewed. Consideration was given to potential control measures, but these are addressed by the existing further actions.	I = H L = H High 9	I = H L = H High 9	I = H L = H High 9

**Notable High and Medium Risks -
Appendix A**

Appendix A Risks and Action Plan Risk Identified		Risk Owner	Action Required to Address Risk in order to reduce the risk	Target Date for action completion	Risk Category Strategic, Operational, Project	Current position / progress as at 23/01/2018	Status as at June 17	Status as at Sept 17	Current Rating as at Dec 17
2	Financial consequences of adverse planning decisions	Regeneration and Development	Production of Emerging Joint Local Plan – completion of Preferred Options stage	Dec-17	Strategic	The emerging Joint Local Plan will provide a more robust framework against which future planning applications will be determined. It will take several years to complete but it will gather greater weight as it proceeds through the various stages of the overall process. The Preferred options document is the next stage and will be reported to Cabinet in December 2017	I = H L = H High 9	I = H L = H High 9	I = H L = H High 9
	3	Fire risk occurrence	Corporate Health and Safety	1. Removal of the battery collection from the council's recycling system. 2. Specific extinguisher training being procured for staff at the site. 3. Amendment to fire evacuation plan once training received.	Mar-18	Operational	Current battery collection and recycling is undertaken at the council however this is not a requirement - the requirement lies with the businesses that sell batteries to provide a recycling point - this will allow the council to cease the collection practice and reduce the likelihood of fires occurring	I = H L = H High 9	I = H L = H High 9

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		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 23/01/2018	as at June 17	as at Sept 17	as at Dec 17
 4 	Regeneration and Development and Chief Executive	Specific Health and Safety training for Exec Directors and Officers as a refresher on responsibilities organised. Corporate mandate for scheduled diary dates to update Target100 (Health and Safety system)	Feb-18 Ongoing	Strategic	There have been RIDDOR (Reporting of Injuries, Diseases and Dangerous Occurrence Regulations 1995 as amended) reportable incidents over the past six months. Further training of senior managers along with a focussed effort upon reviewing risk assessments is expected to improve the Council resilience.	I = 3 L = 1 Medium 3	I = 3 L = 1 Medium 3	I = H L = H High 9
 5 	Recycling and Waste	Continue to monitor the current global downturn in recycled material values Feasibility study to look to install a second bailer to increase security of productivity	Ongoing	Operational	The potential market changes mean that the income derived from this is reducing significantly and is primarily outside of the Council's control. Although the production may be secured if another bailer was installed, the end product is being affected by outside sources who are re-thinking their acceptance of recyclable waste from Great Britain.	I = H L = M Medium 6	I = H L = M Medium 6	I = M L = H Medium 8

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		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 23/01/2018	as at June 17	as at Sept 17	as at Dec 17
6 Failure to deliver Local Air Quality Management action plans	Environmental Protection	Deliver Air Quality Action Plan to DEFRA. Failure to manage air quality in accordance with statutory requirements and not addressing risks to residents health in affected areas. The minister has reserve power functions and judicial review of the council function /decision making may be called. Development and delivery of measures requires buy in from key stakeholders.	Nov-17	Operational	The action plan should have been delivered during 2016/17, however it was revised to be delivered during Q2 of 2017/18. The draft report was been sent to the consultants for comments during September and will be sent to DEFRA during Q1 2018	I = M L = H Medium 8	I = M L = H Medium 8	I = M L = H Medium 8